One-Step Facile Surface Engineering of Hydrophobic Nanocrystals with Designer Molecular Recognition

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ABSTRACT: High quality nanocrystals have demonstrated substantial potential for biomedical applications. However, being generally hydrophobic, their use has been greatly limited by complicated and inefficient surface engineering that often fails to yield biocompatible nanocrystals with minimal aggregation in biological fluids and active targeting toward specific biomolecules. Using chimeric DNA molecules, we developed a one-step facile surface engineering method for hydrophobic nanocrystals. The procedure is simple and versatile, generating individual nanocrystals with multiple ligands. In addition, the resulting nanocrystals can actively and specifically target various molecular addresses, varying from nucleic acids to cancer cells. Together, the strategy developed here holds great promise in generating critical technologies needed for biomedical applications of nanocrystals.

Nanometer-scale crystallites, which possess unique size- or shape-dependent physical and chemical properties, have demonstrated substantial potential for biomedical applications, including molecular imaging,1,2 disease diagnostics,3,4 cancer therapy,5−7 etc. For example, quantum dots (QDs) have been used to sensitize photodynamic therapy (PDT) agents, leading to a novel class of PDT sensitizers with tunable optical properties for treating both shallow- and deep-seated tumors.8 However, high quality nanocrystals are typically synthesized in organic solvents at elevated temperatures, resulting in biocompatible nanocrystals coated with hydrophobic surfactant stabilizers (such as oleylamine, oleic acid, and any other hydrocarbon chain-containing ligands).9,10 To address this issue, two major strategies have been devised for hydrophobic nanocrystal surface engineering to generate soluble and stable nanocrystals in aqueous solutions: (1) ligand exchange with thiol-, phosphine-, or dopamine-containing molecules and (2) ligand encapsulation by a layer of amphiphilic polymers or silica shell.11−13 Unfortunately, despite recent advances, these surface engineering approaches often fail to produce individually dispersed nanocrystals in various biological fluids. In addition, complicated procedures and intensive energy input (e.g., heat and sonication) are generally required.14 Most importantly, additional steps are needed to incorporate biological moieties for specific molecular recognition, making the entire process time- and labor-consuming.

Here, we demonstrate a novel one-step method for hydrophobic nanocrystal surface engineering to produce different types of water-soluble nanocrystals with tunable molecular recognition, using chimeric DNA molecules containing both hydrophobic (diacyllipid) and hydrophilic (oligonucleotide) parts. Through hydrophobic interactions, whereby relatively apolar molecules aggregate in aqueous solutions,15 these chimeric DNA molecules spontaneously intercalate in the surfactant layer of hydrophobic nanocrystals using their hydrophobic parts, encapsulating an individual nanocrystal inside the diacyllipid core and leaving an oligonucleotide corona outside (Scheme 1). The resulting water-soluble nanocrystals have a relatively narrow hydrodynamic size distribution and long-term stability in various biological media. In addition, since DNA can specifically recognize their targets by either Watson−Crick base pairing or by folding into distinct tertiary structures, these functionalized nanocrystals possess excellent selectivity to a variety of biomolecular targets, varying from nucleic acids to cancer cells.

The chimeric DNA molecules are synthesized by efficiently incorporating a diacyllipid at the 5′ end of oligonucleotides through solid phase DNA synthesis on a fully automated DNA/RNA synthesizer, according to our previously reported procedure.16,17 To test the feasibility of this facile surface engineering method, oleylamine-coated Fe3O4 core−shell nanoparticles (CSNPs) (13 nm) are first used. The as-prepared Fe3O4 CSNPs were spherical and fairly monodisperse, as shown in a transmission electron microscopy (TEM) image (Figure 1a). For functionalization, Fe3O4 CSNPs in tetrahydrofuran, a water-miscible organic solvent, were mixed with chimeric DNA molecules in water. The reaction was conducted under an ambient atmosphere while shaking. After excess chimeric DNA molecules were removed by washing, the resulting Fe−Fe3O4 CSNPs were readily dispersed in water (Figure 1c) with negligible aggregation (Figure 1b).

Dynamic light scattering (DLS) and zeta-potential measurements indicated that the as-prepared Fe−Fe3O4 CSNPs in hexane...
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Fe₃O₄ CSNPs. TEM images of Fe−
the engineered Fe−
chimeric DNA molecule functionalization. The as-prepared Fe−
chimeric DNA molecule here. Detailed sequence information for all the
respectively. These results suggested that hydrophobic Fe−
CSNPs were stabilized by chimeric DNA molecules in
water and formed uniformly distributed nanoparticles. The
maximal concentration that functionalized Fe−Fe₃O₄ CSNPs can reach in
aqueous solution is more than 5 mg/mL, which is
sufficient for most of their biomedical applications. In addition,
the engineered Fe−Fe₃O₄ CSNPs preserved the magnetic
properties of the original nanocrystals (Figure 1d). Both UV−
visible and FT-IR spectroscopies verified the presence of
chimeric DNA molecules on the surfaces of functionalized Fe−
Fe₃O₄ CSNPs (Figures S2 and S3, SI): the characteristic UV
absorption peak around 260 nm and vibrational band between
750 and 1750 cm⁻¹ belonging to DNA were easily identified.

The surface density of chimeric DNA molecules on the
modified Fe−Fe₃O₄ CSNPs was estimated by fluorescence
measurements (see SI for detailed procedures). With the newly
developed surface engineering method, the number of chimeric
DNA molecules per functionalized nanoparticle increased with
ligand concentration and reached a plateau at the saturation
concentration (Figure S4, SI). Using fluorescently labeled lipid-
T20, the saturation concentration for 0.5 mg/mL Fe−Fe₃O₄
CSNPs was 25 μM. On average, there were 48 chimeric DNA
molecules per modified nanoparticle at that concentration. In
order to obtain functionalized nanoparticles with the desired
solubility in aqueous environments, enough chimeric DNA
molecules were needed; Fe−Fe₃O₄ CSNPs modified with
adequate chimeric DNA molecules (≥10 μM) are soluble
upon adding water, whereas the ones engineered with insufficient
ligands (≤3 μM) need vigorous vortexing or even sonication
(Figure S5, SI). In addition, chimeric DNA molecules with
varying lengths (from 5 to 60 nt) and distinct sequence
information were all found to generate functionalized nano-
particles with excellent water dispersity (Figure S6, SI).
Moreover, the hydrodynamic diameter and zeta-potential of
modified nanoparticles increased with the length of chimeric
DNA molecules (Table S2, SI).

Since this novel surface engineering approach does not rely on
the properties of the nanocrystal core or the reactivity of the
nanocrystal surface, it could be generalized for many hydro-
phobic nanocrystals with variable size, composition, and
morphology. To verify this point, chimeric DNA molecules
were used to engineer Fe₃O₄ nanoparticles (NPs) with two
different sizes (7 and 15 nm diameter). Water-soluble Fe₃O₄ NPs
with minimal aggregation were obtained under both circum-
stances (Figure 2a,b). In addition, this method worked equally
well for nanocrystals with other compositions (CdS/ZnS QDs
and Au NPs) and morphologies (Fe−Pt nanorods (NRs) and
Au−Fe₃O₄ dimer nanoparticles (DNPs)) (Figure 2c–f). A
detailed synthesis procedure for these hydrophobic nanocrystals
can be found in the SI. Therefore, the surface engineering

**Figure 1.** Characterization of chimeric DNA molecule-engineered Fe−
Fe₃O₄ CSNPs. TEM images of Fe−Fe₃O₄ CSNPs (a) before and (b)
after chimeric DNA molecule modification in hexane and water,
respectively. (c) Solvent dispersity of Fe−Fe₃O₄ CSNPs before and after
chimeric DNA molecule functionalization. The as-prepared Fe−Fe₃O₄
CSNPs are hydrophobic and disperse in hexane, while the functionalized
Fe−Fe₃O₄ CSNPs are hydrophilic and disperse in water. (d) Magnetic
separation of engineered Fe−Fe₃O₄ CSNPs. Lipid-T20 was used as the
chimeric DNA molecule here. Detailed sequence information for all the
chimeric DNA molecules can be find in Table S1 (SI). Scale bars: 50 nm.

**Figure 2.** Surface engineering of various hydrophobic nanocrystals with
varying size, composition, and morphology with chimeric DNA
modification. TEM images of (a) Fe₃O₄ NPs (7 nm diameter), (b)
Fe₃O₄ NPs (15 nm diameter), (c) CdS/ZnS QDs, (d) Au NPs, (e) Fe−
Pt NRs, and (f) Au−Fe₃O₄ DNPs, after chimeric DNA molecule
engineering in water. Lipid-T20 was used as the chimeric DNA molecule
here. Scale bars: 50 nm.
method demonstrated here is independent of nanocrystal size, composition, and morphology. In addition, it is highly efficient with only a few empty micelles remaining (Figure S7, SI).

In order to determine their utility in biomedical applications, the stability of engineered nanocrystals was evaluated in various solution environments. No obvious aggregation was observed in water, phosphate buffered saline, or cell culture medium, even after more than 6 months.

After confirming the stability of functionalized nanocrystals in biological systems, we systematically investigated their ability to recognize desired molecular targets. First of all, the hybridization between modified nanocrystals and their cDNA (cDNA) was studied (Figure 3a). Fluorescein isothiocyanate (FITC)-labeled cDNA is perfectly complementary to lipid-20. Scale bars in (b): 2 μm; scale bars in (c): 600 nm.

lipid-20 and FITC-labeled lipid-T20 were used as target and control chimeric DNA molecules, respectively. The cDNA here was perfectly complementary to lipid-20. The cDNA-conjugated silica microsheres (cDNA-SiMSs) were prepared by immobilizing biotinylated cDNA onto strepavidin-coated SiMSs (SA-SiMSs) and then incubating with engineered Fe–Fe3O4 CSNPs under an ambient atmosphere in hybridization buffer (20 mM Tri-HCl, 50 mM NaCl, 5 mM MgCl2) while shaking for 4 h. For cDNA-SiMSs treated with lipid-20-functionalized Fe–Fe3O4 CSNPs, a strong green fluorescence signal was observed by confocal laser scanning microscopy (CLSM), indicating a high degree of hybridization, while no fluorescence was seen for cDNA-SiMSs mixed with lipid-T20-modified Fe–Fe3O4 CSNPs, suggesting no hybridization (Figure 3b). In addition, coating of lipid-20-functionalized Fe–Fe3O4 CSNPs (instead of lipid-T20-modified Fe–Fe3O4 CSNPs) onto cDNA-SiMSs was viewed by scanning electron microscopy (SEM) (Figure 3c), further showing the selective binding of engineered Fe–Fe3O4 CSNPs to their nucleic acid target. In addition, SEM images also illustrated that the hybridization event did not disrupt the structure integrity of engineered Fe–Fe3O4 CSNPs.

Next, we tested the binding between modified nanocrystals and their target cancer cells, which is of great significance to their use in early cancer diagnosis and efficient cancer therapy. To accomplish this, Fe–Fe3O4 CSNPs were functionalized with an FITC-labeled chimeric aptamer. Aptamers, generated from a process known as SELEX (Systematic Evolution of Ligands by EXponential enrichment), are single-stranded oligonucleotides which can bind to their targets with high affinity and excellent selectivity by folding into distinct secondary or tertiary structures.20 An Sgc8 aptamer,20 previously developed to specifically bind to CEM cells (T cell line, human acute lymphoblastic leukemia) using Ramos cells (B cell line, human Burkitt’s lymphoma) as a control, was chosen for the study (Figure 4a). According to flow cytometry histograms, an obvious shift (binding) was observed for CEM (target) cells, but only a negligible shift was noticed for Ramos (control) cells (Figure 4b). More importantly, even though much fewer engineered Fe–Fe3O4 CSNPs (5 nM, 28 aptamers per functionalized nanoparticle) were used compared to free aptamers (200 nM), a much larger shift was achieved using modified Fe–Fe3O4 CSNPs due to the multivalent effect. The affinity of a ligand to its receptor is highly dependent on its valency (the number of sites available for receptor attachment). Therefore, the presence of multiple aptamers on the surface of functionalized Fe–Fe3O4 CSNPs resulted in greater cooperation, thereby enhancing the binding affinity to target cancer cells. With the FITC-labeled chimeric aptamer, the modified Fe–Fe3O4 CSNPs can be used as specific fluorescence imaging agents with excellent sensitivity.

Magnetic resonance imaging (MRI) is one of the best noninvasive imaging modalities because of its ability to provide a large amount of spatial and temporal information using various contrast agents, especially iron oxide nanocrystals.20–25 Therefore, we also tested the potential of using engineered Fe–Fe3O4 CSNPs as T2 (transverse relaxation time) contrast agents. Based on a self-amplifying proximity assay,24 when multiple modified

Figure 4. Specific binding of chimeric DNA molecule engineered–Fe–Fe3O4 CSNPs to target cancer cells. (a) Schematic illustration of the binding event. (b) Flow cytometry histograms of CEM (target) and Ramos (control) cells incubated with buffer only, aptamer (200 nM) and engineered Fe–Fe3O4 CSNPs (5 nM). PI-CEM and PI-Ramos are CEM and Ramos cells preincubated with 1.5 μM unlabeled aptamer. (c) T2-weighted MRI image of engineered Fe–Fe3O4 CSNPs only (top), CEM (middle) and Ramos (bottom) cells treated with engineered Fe–Fe3O4 CSNPs. Lipid-PEG-Sgc8 was used as the chimeric DNA molecule here.

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Fe–Fe₃O₄ CNPs bind to the receptors on their target cancer cells, they act cooperatively to form micrometer-scale clusters, thereby enhancing the net transverse relaxation of neighboring protons and leading to a darker image. T₂-weighted MRI was taken for both CEM cells and Ramos cells treated with functionalized Fe–Fe₃O₄ CNPs (Figure 4c). Similar darkness was observed for engineered Fe–Fe₃O₄ CNPs incubated with buffer only and Ramos cells. However, a significantly darker spot was obtained for modified Fe–Fe₃O₄ CNPs mixed with CEM cells, as a result of their highly specific interaction, demonstrating that functionalized Fe–Fe₃O₄ CNPs can also serve as selective contrast agents with high performance.

Compared to traditional polymer systems used to produce biocompatible nanocrystals from hydrophobic ones, like polyethylene glycol (PEG), the new method demonstrated here provides a more time- and labor-efficient single-step surface engineering approach: the DNA corona not only renders the contrast agents with high performance, but also provides a more time- and labor-efficient method. In addition, without the necessity of a complicated organic synthesis, all these chimeric DNA molecules targeting ligands can be synthesized on a fully automated DNA/RNA synthesizer. If desired, different modifiers, including commercially available organic dyes, functional groups, therapeutic agents, or even short polymers (e.g., PEG), can be introduced at any location of the sequence during synthesis.

To summarize, we have developed a one-step facile surface engineering approach for hydrophobic nanocrystals using chimeric DNA molecules. This method is simple as well as efficient and can be readily adapted to a broad range of nanocrystals with variable size, composition, and morphology. Engineered nanocrystals possess excellent dispersity in biological fluids with minimal aggregation and long-term stability. In particular, this novel surface engineering approach equips modified nanocrystals with designer molecular recognition to various molecular addresses, varying from nucleic acids to cancer cells. Based on all these superior features, we believe that this newly developed surface engineering approach will greatly facilitate the use of nanocrystals in many biomedical applications.

**ASSOCIATED CONTENT**

2 Supporting Information

Detailed synthesis and characterization of hydrophobic nanocrystals, chimeric DNA molecules, functionalized hydrophobic nanocrystals, and detailed experimental procedures. This material is available free of charge via the Internet at http://pubs.acs.org.

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Notes

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